

For a Junior Self-invested Personal Pension (Junior SIPP), in instances where references to 'you', or 'your' appear within this document, this refers to the Member of the Junior SIPP whilst addressing the Registered contact on the Junior SIPP responsible for the administration and management of the Junior SIPP on the Member's behalf. Where references to 'I' or 'me' appear within this document, this refers to either the Registered contact, authorised to make declarations on behalf of the Member of the Junior SIPP or the Child themselves if the context otherwise requires.

This form also gives you the option to ringfence some of the cash from the sale of your investment(s) for specific purposes. In this form, “ringfence” means to set cash in the cash facility for specific purposes, subject to the provisions of the Aegon Retirement Choices Terms and conditions or One Retirement Terms and conditions, as appropriate. The ringfence purposes are tax-free cash (also known as pension commencement lump sum (PCLS)) for dripfeed drawdown requests (for SIPP), pension income payments (for SIPP Drawdown), or regular withdrawals (for ISA or GIA).

Please note, you can't use this form for Secure retirement income.

## 1. Customer details

[illegible]

## 2. Recurring switch instruction

2.1 Product to apply instruction to

Product number

      

2.2 ☐ I wish to cancel the existing recurring switch instruction – please only complete sections 4 and 5 as applicable.

2.3 Starting on the  9th or the  22nd of (mm/yyyy)

with the last switch to take place on (mm/yyyy)

If no start date is provided above, we'll start this instruction at the next recurring switch date.

### Recurring switch to cash

2.4 I want to switch

£  from the investments listed below to the cash facility.

Frequency (select one)

☐ monthly ☐ quarterly ☐ yearly **This will replace any existing instructions.**

☐ Please tick this box if you have a default investment strategy that you want to use.

Name of investment(s)	Sedol	Amount (£)
Total		

The total for all the named investments must be the same as the overall switch amount.

### 3. Ringfence cash

---

You can choose to ringfence some or all of the cleared funds from the switch. If you select to ringfence cash, we'll use the amount you select for the purpose you select. The ringfence purposes are tax-free cash (also known as pension commencement lump sum (PCLS)) for dripfeed drawdown requests (for SIPP), pension income payments (for SIPP Drawdown), or regular withdrawals (for ISA or GIA).

The ringfence will only be applied once we have received cleared funds from your switch. If there are multiple funds being sold as part of this instruction, we'll ringfence the cleared funds as we receive them from each trade.

Please note that if you instruct us to ringfence cash and this leaves insufficient available cash to pay any charges, the forced disinvestment procedure as set out in the Aegon Retirement Choices Terms and conditions or the One Retirement Terms and conditions, as appropriate, will apply.

If a ringfence prevents any payments due on the product from being paid, you'll be responsible for making those payments. Any ringfence, and how it's applied, is subject to the Aegon Retirement Choices or One Retirement Terms and conditions as appropriate.

Amount to ringfence for tax-free cash (SIPP only), pension income payments (Drawdown only) or regular withdrawals (ISA or GIA only)

## 4. Adviser details

Name of adviser or registered individual

Name of firm

Address of firm

  
  
  


Postcode

Where you have completed this instruction on behalf of the customer named in section 1, when you sign the form, by typing your name in this box or by using any other electronic signature method we have previously agreed in writing with you that you may use, you are making the declarations and confirming that the customer wishes to proceed with the instructions in this form.

By signing this instruction, by typing your name in the box below or using such other agreed electronic signature method, you make the following additional declarations:

You declare that:

- To the best of your knowledge and belief, the information supplied to Aegon on behalf of the customer is true and complete
- You have the appropriate authority from the customer, or Registered contact for junior products, to complete this form, to make the declarations in this form on their behalf and to provide Aegon with the instructions set out in this form, acknowledging that Aegon reserves the right to request a copy of the authority and failure to provide a copy when requested may result in Aegon being unable to proceed with the instructions

Financial Services Register number

☐ I declare that in the absence of a discretionary management agreement for the client, this instruction has been authorised by the client before being submitted.

Or

☐ I declare that the above instruction is given in accordance with a current discretionary management agreement for this client.

No adviser charge is payable for recurring switches.

- You have discussed the form with the customer, or Registered contact for junior products and they are aware of its content, they agree to the declarations and agree to you submitting this application on their behalf.

You hereby indemnify:

- Aegon against all claims, losses, tax charges, penalties and interest incurred or due to be paid by Aegon as a result of your failure to obtain the appropriate authority from the customer, or Registered contact for junior products, and/or supplying incorrect or inaccurate information and Aegon relying on and following the instructions given in this application form.

Date (dd/mm/yyyy)

Adviser signature (type name here)

## 5. Customer declaration

### 5.1 Where I am instructing a recurring switch to cash

- I instruct Aegon to carry out the recurring switch into the cash facility of the product set out in section 2.1 of this form from the investment(s) listed in section 2.4 in accordance with the terms and conditions of the product listed in section 2.1.

### 5.2 Where I am requesting the application of a ringfence to the proceeds of the sell down in 5.1

- I instruct Aegon to ringfence cash in the amount(s) and for the purpose(s) set out in section 3 of this form.
- I understand that if I instruct Aegon to ringfence cash and this leaves insufficient available cash to pay any charges, the forced disinvestment process as set out in the Aegon Retirement Choices Terms and conditions or One Retirement Terms and conditions, as appropriate, will apply.

### 5.3 I have had the opportunity to read the Key Investor Information Document(s) (KIIDs), (or Key Information Document) and any relevant consumer-facing sustainability disclosure reports relating to the fund(s) I would like to switch from (listed in section 2.4 of this form) and to before completing this application.

### 5.4 I have had the opportunity to read the Aegon UK Retail Order Execution Policy and I agree to its terms.

### 5.5 I have had the opportunity to read the Aegon Retirement Choices Terms and conditions or One Retirement Terms and conditions, as appropriate, and agree to be bound by the applicable terms.

### 5.6 I have had the opportunity to speak to an independent financial adviser before completing this instruction form.

### 5.7 I understand that this instruction replaces all previous switch instructions that I (or any previous Registered contact for a Junior product) have given for this product.

### 5.8 By typing my full name in the signature box below and typing the date in the date box or by using any other electronic signature method you have agreed, in writing with my adviser, to accept, I am making the declarations and confirming that I wish to proceed with the instructions in this form. For any junior product, this form is to be completed and declaration signed by the Registered contact on behalf of the Child / account holder.

Date (dd/mm/yyyy)

--	--	--	--	--	--	--	--

Customer signature (type name here)

X	X
---	---

