



# Aegon General Investment Account (GIA) application for Canada Life International

In this form Aegon means Aegon Investment Solutions Limited.

## What is this form for?

Use this form to apply, as an agent of Canada Life International, for an Aegon General Investment Account (GIA) to be held by Canada Life International. Canada Life International will hold this product on behalf of the underlying bond holder. You cannot use this form to apply unless you have already opened the Canada Life International Bond and the funds must only come from that bond.

You must provide Canada Life International with an illustration, key features document and terms and conditions before completing this form. If you haven't, we will not be able to process this request. Please contact us on 0345 680 1234 to request an illustration, key features document and terms and conditions. Call charges will vary.

## Who should complete this form?

This form should be completed and signed by the adviser named in section 5 who is acting as an agent of Canada Life International.

If you disclose information about a third party as part of this application, please ensure that you have their permission and have informed them of the purposes of the collection of this information before doing so.

## How to complete this form

Please complete this form by typing in the boxes, including the signature box(es) and email it to: [clientsupport@arc.aegon.co.uk](mailto:clientsupport@arc.aegon.co.uk)

Our email system and the way we deal with data internally is secure. However, we're unable to ensure the security of emails before they reach us so please consider this and do not include any personally sensitive, financial or banking information that has not been appropriately secured.

If you need any assistance in completing this application form, please contact your usual representative or call us on 0345 680 1234 for support.

If your personal circumstances mean you need any additional support, or if you'd like a large print, Braille or audio version of this document, please contact us on 0345 680 1234 or at [aegon.co.uk/additionalsupport](https://aegon.co.uk/additionalsupport)

## Funding the GIA

Please do not make arrangements with Canada Life International to send any funds to us until we have contacted you to confirm that all of our requirements have been met to proceed with this application. If funds are received before our requirements are met, they may be returned to source.

Please note that we are unable to collect details of any investment selection within this application. Once we have contacted you to request that funds are sent to us, it is your responsibility to place any investments via our website once funds have been deposited into the Aegon GIA.



## 4. Investment details

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Please note that we are unable to collect details of any investment selection within this application. Once we have contacted you to request that funds are sent to us, it is your responsibility to place investments via our website once funds have been deposited into the Aegon GIA.

## 5. Adviser details

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Adviser name

Firm name

The adviser named above must be an appointed agent of Canada Life International and be acting as an agent of Canada Life International for the purposes of this application. The adviser must be signed up to the Aegon Retirement Choices terms of business and your client must hold a Canada Life International Bond.

Please note that we are unable to collect details of any adviser charges payable within this application. Once we have contacted you to request that funds are sent to us, it is your responsibility to set up any adviser charges via our website.

## 6. How we treat your personal information

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If information about a third party is disclosed as part of this application, please ensure that you have their permission and have informed them of the purposes of the collection of this information before doing so.

Here at Aegon, we're committed to protecting and respecting your privacy. We collect personal information so that we can verify identity, set up this account and provide ongoing administration. We need this information to carry out our obligations and to provide the products and services under the terms of the contract that is being agreed with us. Without it, we wouldn't be able to provide an account.

As part of our administration process, we work with carefully selected service providers (in other words suppliers) that carry out certain functions on our behalf. We only share the appropriate level of personal information necessary to enable our suppliers to carry out their services and they need to keep the information safe and protected at all times. Our suppliers must only act on our instructions and can't use any personal information that you provide for their own purposes.

The personal information we collect may be transferred to, and stored at a destination outside the European Economic Area (EEA). This could be to other companies within the Aegon Group or to our service providers. Where any such processing takes place, appropriate controls are in place to make sure your information is protected.

We may disclose the information you provide to licensed credit reference and/or fraud prevention agencies to help make financial or insurance proposals and claims decisions (this will be during the application or enrolment process and on an ongoing basis), for parties named in this application and anyone you're linked with financially or other members of your household. Our enquiries or searches may be recorded.

You can find more information on how we use and share the personal information you provide, including how long we keep it and details of any rights at [aegon.co.uk/support/faq/privacy.html](https://aegon.co.uk/support/faq/privacy.html) or by contacting us to request a copy.

We'd like to keep you up-to-date with information about our news, products and services. If you'd like to hear more from us, please tick the relevant box below.

By ticking the box(es), you're consenting to receiving marketing messages in this way from us. You can change your mind and unsubscribe at any time simply by contacting us. For more information on how to do this go to [aegon.co.uk/support/faq/privacy](https://aegon.co.uk/support/faq/privacy)

We won't pass your information to other companies outside of the Aegon Group for marketing purposes.

- Mail
- Phone
- SMS
- Email

The party receiving marketing messages will be the contact named in section 2 of this application.

## 7. Declaration

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In this declaration:

'We', 'you' or 'your' refers to the adviser named in section 5 of this application form and 'Aegon' refers to Aegon Investment Solutions Limited. The 'applicant' or 'they' refers to Canada Life International.

Before submitting this application to Aegon, you need to read and accept these declarations on behalf of the applicant. You should also make them aware of the terms of these declarations and ensure that they agree to them. You, as agent of Canada Life International, confirm this by submitting this application to Aegon.

### General declaration

Aegon relies on the information contained in the following documents as they form the basis of the contract being applied for:

- the application;
- these declarations and any other declarations made when applying for the Aegon GIA
- the first contract note, and
- the Aegon Retirement Choices terms and conditions.

We confirm that we are acting as appointed agent for Canada Life International.

We confirm that the applicant has had the opportunity to read these documents carefully (other than the first contract note which will be provided in accordance with the Aegon Retirement Choices terms & conditions), along with the key features document, the personal illustration, Key Investor Information Documents (KIIDs), (or Key Information Document), any relevant consumer-facing sustainability disclosure report, and the declarations in this application, before completing this application process.

We confirm that the applicant has had the opportunity to read the Aegon UK Retail Order Execution Policy and agrees to its terms.

We confirm that the applicant accepts that Aegon has not and will not assess their

suitability for the Aegon GIA or any investment decisions we make. This means they will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability in relation to Aegon.

### The applicant declares that:

They apply for the Aegon GIA and services outlined in the application and agree to be bound by the Aegon Retirement Choices terms and conditions.

They will inform Aegon of any changes to the information provided in this application.

The information supplied in this application, and any supplementary forms related to it, including transactional data, is correct and complete to the best of their knowledge and belief. They are aware that it is a serious offence to knowingly provide false or misleading information on the application.

They confirm that, if they have not received face to face advice from an appointed adviser in connection with this application, they have received and had the opportunity to read the key features document, illustration, Key Investor Information Document(s) (KIID(s)), (or Key Information Document), any relevant consumer-facing sustainability disclosure report, and terms and conditions that are relevant to this application.

Where regulations allow, the applicant nominates the appointed adviser named in this application to receive correspondence in relation to the investments. This instruction will remain in force unless the appointed adviser has informed Aegon that they wish for this correspondence to be sent directly to the applicant, or the applicant informs Aegon that they no longer have an appointed adviser to whom Aegon can send these.

Any payment into the Aegon GIA will be placed in the cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by the applicant or the appointed adviser.

## 7. Declaration – continued

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The applicant declares that the adviser is signing as agent for the product provider named in section 1 and has authority from all relevant parties named in this application to proceed and, if applicable, for electronic searches of all parties named in this application to be undertaken. The applicant also declares that those same parties have been made aware of this declaration.

**The applicant authorises Aegon to:**

Hold cash, contributions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

Accept investment and disinvestment instructions from the appointed adviser and to accept instructions from the appointed adviser with regard to all aspects of the running of the Aegon GIA.

Make any payments specified by the appointed adviser from the Aegon GIA. The applicant agrees that these payments reflect the terms of the agreement they have entered into with the appointed adviser. The contract note will confirm the actual amount of the payment that is deducted and paid to the appointed adviser. If the applicant disagrees with the payment then they must advise the appointed adviser of this.

You should sign and date this form by typing your full name in the signature box below and typing the date in the date box. Your typed name in the signature box will be your signature. When you sign the form, by typing your name in this box, you are making the declarations and confirming that you wish to proceed with the instructions in this form.

Adviser signature (type name here)

X	X
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Date

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Please do not make any arrangements with Canada Life International to send any funds to us until we have contacted you to confirm that all our requirements have been met to proceed with this application. If funds are received before our requirements are met, they may be returned to source.

