

Withdrawal from an Aegon General Investment Account (GIA) into an Aegon ISA

Before completing this form

You must have received an illustration, key features, terms and conditions, and a Key Investor Information Document (KIID) or a key information document for each investment you're choosing before we can process your application. If you don't have any of these documents you can email us on: aegonipsadministration@aegon.co.uk.

About this form

'I', 'me', 'you', and 'your' refers to you, the customer named in section 1. 'Aegon', 'we' or 'our' refers to Cofunds Limited. 'Adviser' refers to the Nationwide Financial Planning Manager.

This form is used to withdraw part or all of the value of the Aegon GIA and move it into a new or existing Aegon ISA.

If you don't already have an Aegon ISA capable of accepting the subscription, you can use this form to apply for one. The Aegon ISA is a stocks and shares ISA.

If a new Aegon ISA is being opened, we'll automatically set up a new individual Aegon GIA if the existing Aegon GIA detailed in section 3.1 is held jointly or isn't in your name.

The Aegon Platform – for Nationwide Building Society customers terms and conditions will give you further details about the Aegon ISA and, where relevant, the Aegon GIA.

Where you currently hold investments in commission-included share classes in your Aegon GIA and/or your Aegon ISA.

You have received information regarding converting your commission-included investments to commission-free and the relevant charges. When we receive this instruction, we'll start that conversion.

Where you haven't already chosen the level of service you need, your Personalised Charges Schedule (PCS) has been produced using the Nationwide service charge of 0.5%. You have the right to opt out of this and instead move onto the pay as you go advice charge service. You can find more information in the covering letter that accompanies your PCS.

Please tell us below which service charge you'd like to choose:


Please leave me on the 0.5% service charge.

I'd like to move to the pay as you go advice charge service.

If you don't tick one of the above boxes to tell us which service you'd like, you'll remain on the 0.5% service charge until you tell us otherwise.

Additional information

Please complete this form in BLOCK CAPITALS and ballpoint pen, then email it and any additional information to: aegonipsadministration@aegon.co.uk. Our email system and the way we deal with data internally is secure. However we're unable to ensure the security of emails before they reach us. Please consider this when sending us sensitive information.

Whenever you see this icon , we're asking you to send us additional material with this form.

To make a withdrawal from more than one Aegon GIA, a separate form must be completed for each account.

Important

For the tax year 2020/2021, the maximum amount that can be paid into ISAs is £20,000.

If the Aegon GIA is a joint GIA, both the primary and secondary holders must agree to the withdrawal and confirm that the money being withdrawn belongs to the Aegon ISA customer named in section 1.1, and sign and date the declaration.

For the purpose of our records we'll process this instruction on the basis that you haven't received financial advice, unless otherwise stated in this form.

1. Aegon ISA customer details

In this section, 'you' or 'your' means the Aegon ISA customer named in section 1.1.

1.1 Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth

D	D	M	M	Y	Y	Y	Y
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1.2 For tax purposes, are you resident anywhere other than the UK?

No

Yes – please download and complete the Individual self-certification form on our website and attach to this form. ✉

1.3 Are you applying for a new Aegon ISA?

Yes

If a separate form is being completed for a withdrawal from another Aegon GIA, we'll only set up one Aegon ISA for you to receive all payments.

No

Tell us your Aegon ISA number:

9							
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1.4 Please tell us:

National Insurance number

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You should be able to find your National Insurance number on a payslip, from a P45 or P60, or a letter from HM Revenue & Customs (HMRC).

I **don't** have a National Insurance number.

Permanent residential address

Postcode

Email address

We may use your email address to contact you about your product.

Gender

Male Female

2. Aegon GIA holders

2.1 Primary holder

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth

D	D	M	M	Y	Y	Y	Y
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2.2 Secondary holder

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth

D	D	M	M	Y	Y	Y	Y
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3. Aegon GIA – Withdrawal details

In this section, 'you' or 'your' means either the primary holder only or both holders (where there are two), named in section 2, for the Aegon GIA numbered in section 3.1.

3.1 What Aegon GIA number do you want to withdraw from?

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3.2 How much would you like to withdraw from your Aegon GIA to be invested in the Aegon ISA?

The total withdrawal (across all Aegon GIAs) must not exceed the Aegon ISA customer's (named in section 1.1) remaining ISA allowance for the tax year.

Total amount to withdraw from the Aegon GIA in section 3.1.

£							
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5. Investment income options

In this section, 'you' or 'your' means the Aegon ISA customer named in section 1.1.

If you have income-generating investments, this section lets you choose how any income distributions paid from those investments should be dealt with when we receive them from the investment provider. We'll apply your selection to all income generating investments you hold within the Aegon ISA.

The flexible ISA subscription rules introduced on 6 April 2016 don't apply to our Aegon ISA. This means if you make a withdrawal from this Aegon ISA you won't be able to replace it without it counting against your annual ISA allowance for the current tax year.

If you have an existing Aegon ISA with us, for any income units or shares you hold and you don't tick one of the boxes in 5.1 we'll apply your existing income option.

5.1 Income options

Please tick one of the following options:

- Reinvest (default)** – reinvest any income received back into the same investment.
- Leave in cash** – pay any income into the Aegon ISA cash facility.

- Consolidated natural income** – pay any income received to your nominated bank account as a monthly payment (Complete section 5.2 to nominate a bank account.)

If you've chosen consolidated natural income, this will count as a withdrawal from your Aegon ISA.

Only complete 5.2 if you've chosen consolidated natural income in section 5.1.

5.2 Bank details for payments out of investment income

Please provide details of the bank/building society account your consolidated natural income is to be paid into. Payments can only be made to a personal account in your name.

If this is the first payment to your nominated bank account, you may need to give us a certified copy of your bank statement and driving licence (as proof of signature). We'll contact you if we need this.

Name of bank/building society

Name of account holder

Branch sort code

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Bank/Building society account number

Building society roll number (if applicable)

Payments to building society accounts may take up to 10 days longer than payments to bank accounts.

6. Adviser details (for adviser use only)

Adviser name

Did you give financial advice?

Yes No

If you'd like to take an ad-hoc adviser charge for this transaction, amend the customer's ongoing adviser charge or service charge, use your Aegon Platform account.

7. How we treat your personal information

At Aegon, we're committed to protecting and respecting your privacy. We collect your personal information so that we can verify your identity, set up your account and provide ongoing administration. We need this information to carry out our obligations and to provide you with the products and services under the terms of your contract with us.

We work with carefully selected suppliers that carry out certain functions on our behalf. We only share the appropriate level of personal information necessary to enable our suppliers to carry out their services and they need to keep the information safe and protected at all times.

Our suppliers must only act on our instructions and can't use your personal information for their own purposes.

The personal information we collect may be transferred to, and stored at a destination outside the European Economic Area (EEA). This could be to other companies within the Aegon Group or to our service providers. Where any such processing takes place, appropriate controls are in place to make sure your information is protected.

We may disclose your information to credit, fraud and financial crime agencies to enable us to verify your identity and help make decisions regarding the ongoing administration of your investments. This will be undertaken during the application process and on an ongoing basis, for you and anyone you're linked with financially or other members of your household. Our enquiries or searches may be recorded.

You can find more information on how we use and share your personal information, including how long we keep it and details of your rights at <https://nationwide.aegon.co.uk/site-info/privacy-and-cookie-policy/> or by contacting us.

8. Declaration – Aegon GIA

In this declaration 'Aegon' refers to Cofunds Limited, and 'I', 'my', 'we' or 'our' refers to the holders of the Aegon GIA. All holders are required to sign and date the Aegon GIA declaration.

- 8.1 I/We authorise Aegon to make a withdrawal from my/our Aegon GIA as detailed in section 3 in accordance with the instructions given.
- 8.2 Where there is a single holder of the Aegon GIA, and the Aegon ISA customer is not the Aegon GIA holder, the Aegon GIA holder confirms that the money being withdrawn belongs to the Aegon ISA customer named in section 1.1.
- 8.3 Where there are joint holders of the Aegon GIA, all joint holders confirm that the money being withdrawn belongs to the Aegon ISA customer named in section 1.1.

Date

D	D	M	M	2	0	Y	Y
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Primary holder signature

(signature not required if sending form by email)

X	X
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Date

D	D	M	M	2	0	Y	Y
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Secondary holder signature

(signature not required if sending form by email)

X	X
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9. Declaration – Aegon ISA

In this declaration:

'Aegon' refers to Cofunds Limited, 'I', 'my' or 'me' refers to you, the Aegon ISA customer named in section 1.1, and 'Adviser' refers to the Nationwide Financial Planning Manager.

General declaration

- 9.1 Aegon relies on the information contained in the following documents as they form the basis of the contract for opening an, or subscribing to an existing, Aegon ISA and where relevant, an Aegon GIA.
- the application;
 - these declarations and any other declarations made when applying for an, or subscribing to an existing, Aegon ISA and where relevant, an Aegon GIA;
 - the contract note for the Aegon ISA, and where relevant, the first contract note for the Aegon GIA, and
 - the Aegon Platform - for Nationwide Building Society customers terms and conditions.

- 9.2 I confirm that I have had the opportunity to read these documents carefully (other than the contract note(s) which will be given to me in accordance with the Aegon Platform - for Nationwide Building Society customers terms and conditions), along with the key features document(s), my personal illustration, key investor information documents and the declarations in this application.
- 9.3 I confirm that I am habitually resident in the United Kingdom.
- 9.4 I accept that the information and documents I have been provided with should not be taken as advice or a recommendation from Aegon.
- 9.5 I accept that Aegon has not and will not assess my suitability for opening an, or subscribing to an existing, Aegon ISA or any investment decisions I make. Except where I have received advice from an adviser, this means I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability. If I have any doubts about the suitability of the Aegon ISA, I should speak to an adviser where I have not already done so.

9. Declaration – continued

- 9.6 I confirm that I have had the opportunity to read the Aegon UK Retail Order Execution Policy and I agree to its terms.
- I declare that:**
- 9.7 Where I do not have an Aegon ISA capable of accepting the subscription equivalent to the amount detailed in section 3.2:
- I apply to subscribe for a stocks and shares ISA for the tax year 2020/2021 and each successive year until further notice.
 - I apply for an Aegon ISA, and where relevant an Aegon GIA, and services outlined in the application and agree to be bound by the Aegon Platform - for Nationwide Building Society customers terms and conditions.
- 9.8 Where I do have an Aegon ISA capable of accepting the subscription equivalent to the amount detailed in section 3.2, I apply to make this additional subscription to my existing Aegon ISA as detailed in 1.3.
- 9.9 All subscriptions made, and to be made, to the Aegon ISA belong to me.
- 9.10 I am 18 years of age or over.
- 9.11 Except where allowed by legislation, I have not subscribed/made payments to, and will not subscribe/make a payment more than the overall subscription limit in total to any combination of permitted ISA types in the same tax year.
- 9.12 Except where allowed by legislation, I have not subscribed, and will not subscribe, to another stocks and shares ISA in the same tax year that I subscribe to this stocks and shares ISA.
- 9.13 I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties, which by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a registered civil partnership with, a person who performs such duties. I will inform Aegon if I cease to be so resident or perform such duties or be married to, or in a registered civil partnership with, a person who performs such duties.
- 9.14 I agree to the Aegon ISA, and where relevant the Aegon GIA, terms and conditions.
- 9.15 The information supplied in the application, and supplementary forms related to it, including transactional data, is true and complete to the best of my knowledge and belief. I am aware that it is a serious offence to knowingly provide false or misleading information on the application.
- 9.16 Any payment into my Aegon ISA, or where relevant my Aegon GIA, including contributions and transfers, will be placed in the appropriate cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me, or my adviser where I have appointed one in relation to my Aegon ISA, or where relevant my Aegon GIA.
- 9.17 Where I have selected consolidated natural income in section 5, I am aware that the Aegon ISA is not a flexible ISA and I can't replace any amount taken as consolidated natural income from it into any ISA without that replacement counting towards my ISA allowance for the current tax year.
- 9.18 Where I currently hold investments in commission-included share classes under my Aegon GIA and/or my Aegon ISA:
- I instruct Aegon to purchase commission-free share class investments (where appropriate) and to arrange the conversion of all commission included share class investments I hold under my Aegon GIA and/or Aegon ISA to commission-free share class investments.
 - I have had the opportunity to read the fund specific information and/or Key Investor Information Documents (KIIDs) relating to my investment(s).
 - I agree that once a commission-included share class has been converted to another share class within an investment, it cannot be converted back.
 - I am aware that no transactions can be carried out on the affected units while the conversion takes place.
 - I agree that any loyalty bonus I receive will stop once the conversion is complete.
 - I have had the opportunity to read the Aegon Platform - for Nationwide Building Society customers terms and conditions and hereby agree to be bound by the terms.

