



For Customers | Aegon Platform

Aegon ISA application form

If your personal circumstances mean you need any additional support, or if you'd like a large print, braille or audio version of this document, please call 0345 604 4001 (call charges will vary) or visit aegon.co.uk/additionalsupport

In this form, 'I', 'me', 'you' and 'your' refers to you, the customer named in section 1, and 'Aegon', 'we' or 'our' refers to Cofunds Limited.

Use this form to apply for an Aegon ISA for the 2024/2025 tax year. The overall ISA allowance for all customers is £20,000 for the 2024/2025 tax year. We'll automatically open an Aegon General Investment Account (GIA) with your new Aegon ISA if you don't already have one. If you want to add money into an existing Aegon ISA for the 2024/2025 tax year you should complete an Aegon ISA top-up form, you can get a copy on our website aegon.co.uk.

The Aegon ISA is a flexible ISA. This means when you make a withdrawal from your ISA you can replace it, in the same tax year, without it counting against your annual ISA allowance for the current tax year.

You must have been provided with an illustration, terms and conditions Aegon ISA key features, Aegon Platform terms and conditions, and a Key Investor Information Document (KIID) or a Key Information Document for each fund you're investing in from us before completing this form. Please go to aegon.co.uk/support to download the form **Illustration request - Aegon GIA and Aegon ISA**. We'll send you the relevant documents along with your illustration.

Please complete this form by typing in the boxes, including the signature box(es) and email it to:
aegoncofundsadministration@aegon.co.uk.

Our email system and the way we deal with data internally is secure. However, we're unable to ensure the security of emails before they reach us so please consider this and do not include any personally sensitive, financial or banking information that has not been appropriately secured.

Whenever you see this icon *, you may have to send us additional information.

1. Customer details

1.1 Illustration number

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If you don't provide your illustration number, it may lead to your application being rejected.

1.2 Mr / Mrs / Miss / Ms / Other - please specify

Full forename(s)

Surname

Date of birth

D	D	M	M	Y	Y	Y	Y
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Gender

Female

Male

1.3 For tax purposes, are you resident anywhere other than the UK?

Yes - download and complete the Individual self-certification form on our website and attach it to this form. *

No

1.4 Are you an existing Aegon customer?

Yes

Please tell us your customer number

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No, please complete section 1.5

1.5 Please tell us

National Insurance number

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You should be able to find your National Insurance number on a payslip, from a P45 or P60, or a letter from HM Revenue & Customs (HMRC).

I don't have a National Insurance number

Permanent residential address

Postcode

Email address

We'll use your email address to contact you about your plan. We might also use it to keep you informed about our products and services but only where you've consented to this.

1.6 Did you get advice before completing this form?

Yes

No

Tick this box to confirm that you've had the opportunity to read the Aegon ISA key features, terms and conditions and fund specific information and/or Key Investor Information Documents (KIIDs) relating to your investment/and Aegon Platform terms and conditions and illustrations. Failure to tick this box could result in your application being rejected.

2. Subscribing to your ISA

How will you be funding the investment into the Aegon ISA?

Cheque

£

Monthly direct debit - please download and complete the Direct debit mandate - ISA

£

Start date

We must receive this form 17 working days before your first chosen date, otherwise your first collection will be the month after.

Bank transfer

£

We might need to know where your money you are contributing and your funds have come from.

For a bank transfer we'll provide bank details when you receive your illustration from us. When making a payment, please make sure your National Insurance number or your surname and date of birth is used as the reference so we can tie it up with your account. Cheques must be made payable to Cofunds Limited. For a building society cheque or banker's draft your name must appear on the front of the cheque, or on the back of the cheque accompanied by the building society's or bank's official stamp and signature. Source of funds (your source of funds is the details of the bank account that your money to invest comes from).

3. Investment details – continued

If you need to add additional funds, please provide the information on a separate sheet of paper in the format above, sign and date it and attach it to this form.

3.3 Model portfolio (for adviser use only)

If you're setting up a new Aegon ISA product please provide the name of the existing model portfolio.

3.4 Auto-rebalancing

Do you want to set up rebalancing on your investments?

No

Yes

Please confirm the frequency of rebalancing:

Quarterly

Yearly

4. Investment income options

If you have selected income generating investments in section 3, this section lets you choose how any income distributions paid from those investments should be dealt with when we receive them from the investment provider. We'll apply your selection to all income generating investments you hold within the Aegon ISA.

Please tick one of the following options:

Reinvest in fund (default) – reinvest any income received back into the same fund.

Leave in cash – pay any income into Aegon ISA cash facility.

Consolidated natural income - pay any income received to your nominated bank account as a monthly payment. (Complete section 6 to nominate a bank account).

If you've chosen consolidated natural income, this will count as a withdrawal from your Aegon ISA and can be replaced in the same tax year under flexible ISA rules without the replacement counting towards your annual ISA allowance.

Please note, if you don't maintain the required minimum balance, we may close your Aegon ISA in accordance with the terms and conditions. If your Aegon ISA is closed, you'll lose the ability to replace any money that has been withdrawn.

5. Regular withdrawals

This section lets you take a regular withdrawal from your Aegon ISA.

You can't take regular withdrawals if:

- You're making regular payments into your Aegon ISA
- You chose consolidated natural income in section 4

Regular withdrawal amount

Do you want your regular withdrawal to increase each year?

- No
- Yes - by the Retail prices index
- Yes - by a fixed amount of 1% to 5%

or, percentage of product value

Percentages are calculated as a monetary amount based on the product value when the withdrawal is processed.

Start date

Please choose the month in which you want to make your first withdrawal.

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For example, if you select withdrawals of 10% on a monthly basis, we'll calculate 10% of your product value each month and then divide this by twelve. This means that your monthly withdrawal amounts will vary.

Withdrawal frequency

- Monthly
- Quarterly
- Half yearly
- Yearly

Payment day

- 9th
- 18th
- 27th

Your withdrawal will be paid out of your product on the day selected and will take approximately three additional business days to clear into your chosen account.

If we receive this form within 10 working days of the requested start date, we'll start withdrawals from the following month.

If you choose to take regular withdrawals this will count as a withdrawal from your ISA and can be replaced in the same tax year under flexible ISA rules without the replacement counting towards your annual ISA allowance.

Please note, if you don't maintain the required minimum balance, we may close your ISA in accordance with the terms and conditions. If your ISA is closed, you'll lose the ability to replace any money that has been withdrawn.

6. Bank details for payments out of investments

Please provide details of the bank/building society account your consolidated natural income, or regular withdrawals are to be paid to. Payments can only be made to a personal account in your name. If this is the first payment to your nominated bank account, you may need to give us a certified copy of your bank statement and driving licence (as proof of signature). We'll contact you if we need this. Rather than send us an original document, send us a certified copy. Please see the 'Who can certify a document and how do they do it?' FAQ on our website for how to do this.

Name of bank/building society

Account name

Branch sort code

Bank/Building society account number

Building society roll number (if applicable)

Payments to building society accounts may take up to 10 days longer than payments to bank accounts.

7. Adviser details (for adviser use only)

7.1 Adviser name

Firm name

Please detail any adviser or service charges below.

7.2 Initial adviser charge

This instruction must be completed if you want to take an initial adviser charge.

Percentage of the lump sum payment

Is this subject to Value Added Tax (VAT)?

Yes

No

VAT will be added to the amount entered or added after the amount has been calculated.

7.2.1 Initial adviser charge on the single premium

Enter the amount or percentage to be deducted.
Tick one option only.

Amount

Or

Is VAT to be added to the above?

Yes

No

9. How we treat personal information

Here at Aegon, we're committed to protecting and respecting your privacy. We collect your personal information so that we can verify your identity, set up your plan and provide ongoing administration. We need this information to carry out our obligations and to provide you with the products and services under the terms of your contract with us. Without it, we wouldn't be able to provide you with a plan. As part of our administration process, we work with carefully selected service providers (in other words suppliers) that carry out certain functions on our behalf. We only share the appropriate level of personal information necessary to enable our suppliers to carry out their services and they need to keep the information safe and protected at all times. Our suppliers must only act on our instructions and can't use your personal information for their own purposes. The personal information we collect may be transferred to, and stored at a destination outside the European Economic Area (EEA). This could be to other companies within the Aegon Group or to our service providers. Where any such processing takes place, appropriate controls are in place to make sure your information is protected. We may disclose your information to licensed credit reference and/or fraud prevention agencies to help make financial or insurance proposals and claims decisions (this will be during the application or enrolment process and on an ongoing basis), for

you and anyone you're linked with financially or other members of your household. Our enquiries or searches may be recorded. You can find more information on how we use and share your personal information, including how long we keep it and details of your rights at customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/ or by contacting us to request a copy. We'd like to keep you up-to-date with information about our news, products and services. If you'd like to hear more from us, please tick the relevant box below.

- Mail
- Phone
- SMS
- Email

By ticking the box(es), you're consenting to receiving marketing messages in this way from us. You can change your mind and unsubscribe at any time simply by contacting us. For more information on how to do this go to customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/

We won't pass your information to other companies outside of the Aegon Group for marketing purposes.

10. Customer declaration

In this declaration:

'I', 'me', 'you', 'your' or 'my' refers to you, the customer named in section 1, and 'Aegon', 'we' or 'our' refers to Cofunds Limited.

General declaration

10.1 I acknowledge that Aegon will rely on the information contained in the following documents as they form the basis of the contract(s) being applied for:

- This application form and any additional application forms
- The declarations given in this section 10 and any other declarations made when applying for an Aegon ISA, and where relevant, an Aegon GIA
- The first contract note for the Aegon ISA and, where relevant, the first contract note for the Aegon GIA
- The Aegon Platform terms and conditions

I confirm that I have had the opportunity to read these documents carefully (other than the first contract note(s) which will be given to me in accordance with the Aegon Platform terms and conditions), along with the key features document(s), my personal illustration for the Aegon ISA, key investor information document(s) and the declarations in this application, before completing this application process.

10.2 I confirm that I have had the opportunity to read the Aegon UK Retail Order Execution Policy and I agree to its terms.

10.3 I confirm that I am habitually resident in the United Kingdom.

10.4 I accept that Aegon has not and will not assess my suitability for the Aegon ISA, and where relevant an Aegon GIA, or any investment decisions I make. This means that I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability in relation to Aegon.

I declare that:

10.5 I apply to subscribe for a stocks and shares ISA for the tax year 2024/2025 and each successive year until further notice.

10.6 I apply for an Aegon ISA, and where relevant an Aegon GIA, and services outlined in the application and agree to be bound by the Aegon Platform terms and conditions.

10.7 All subscriptions made, and to be made, to the Aegon ISA belong to me.

10.8 I am 18 years of age or over.

10.9 Except where allowed by legislation, I have not subscribed/made payments, and will not subscribe/make a payment more than the overall subscription limit in total to any combination of permitted ISA types in the same tax year.

10.10 Where I am applying for an Aegon GIA, through self-certification I will provide details of all countries in which I am resident for tax purposes. If I do not provide these details, I will be reportable to HM Revenue & Customs (HMRC) as undocumented.

10.11 I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties, which by virtue of Section 28 of Income Tax (Earnings and Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a registered civil partnership with, a person who performs such duties. I will inform Aegon if I cease to be so resident or to perform such duties or be married to, or in a registered civil partnership with, a person who performs such duties.

10.12 I agree to the Aegon ISA and, where relevant, the Aegon GIA terms and conditions.

10.13 I agree that any direct debit instructions in the application will continue into subsequent tax years until I tell Aegon to stop taking payments.

10.14 The information supplied in this application, and any supplementary forms related to it, including transactional data, is correct and complete to the best of my knowledge and belief, I am aware that it is a serious offence to knowingly provide false or misleading information on the application.



Aegon is a brand name of both Scottish Equitable plc (No. SC144517) registered in Scotland, registered office: Edinburgh Park, Edinburgh EH12 9SE, and Cofunds Limited, Registered in England and Wales No.03965289, registered office: Level 26, The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB. Both are Aegon companies. Scottish Equitable plc is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Cofunds Limited is authorised and regulated by the Financial Conduct Authority (FCA). Their FCA Financial Services Register numbers are 165548 and 194734 respectively. © 2024 Aegon UK plc