

Aegon Junior ISA application form

(Stocks and shares) 2019/2020

In this form, 'I', 'me', 'you', 'your' or 'my' refers to you, the registered contact named in section 1, and 'Aegon', 'we' or 'our' refers to Cofunds Limited.

You should use this form to apply for an Aegon Junior ISA (JISA) on behalf of a child who is under 18 and living in the UK, or on your own behalf if you're the child aged 16 to 18 who has successfully applied to become the registered contact. The Aegon JISA is a stocks and shares ISA.


For the tax year 2019/2020 the maximum amount you can pay into JISAs is £4,368.

The registered contact is a person with parental responsibility, or the child aged 16 to 18, if they so want and have successfully applied to become the registered contact.

Before completing this form

You must have been provided with an illustration, terms and conditions, Aegon JISA key features, and a Key Investor Information Document (KIID) or a Key Information Document for each fund you're investing in from us before completing this form. If you haven't, we'll not be able to process your request. Please email us on: aegoncofundsadministration@aegon.co.uk to request any of these key documents.

Please complete this form in BLOCK CAPITALS and ballpoint pen and email it to: aegoncofundsadministration@aegon.co.uk. Our email system and the way we deal with data internally is secure. However we're unable to ensure the security of emails before they reach us. Please consider this when sending us sensitive information.

Whenever you see this icon , you may have to send us additional information.

1. Child and registered contact details

1.1 Child details:

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth

D	D	M	M	Y	Y	Y	Y
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Please tell us:

National Insurance number (if held)

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You should be able to find your National Insurance number on a payslip, from a P45 or P60, or a letter from HM Revenue & Customs (HMRC).

The child **doesn't** have a National Insurance number

Permanent residential address

Postcode

Gender

Male Female

1. Child and registered contact details – continued

1.2 Registered contact details

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth

D	D	M	M	Y	Y	Y	Y
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Gender

Male Female

Permanent residential address

Postcode

Was advice given for this application?

Yes No

Tick this box to confirm that you've had the opportunity to read the Aegon JISA key features, terms and conditions and fund specific information and/ or Key Investor Information Documents (KIIDs) relating to your investment.

Email address

We'll use your email address to contact you about your Aegon JISA. We might also use it to keep you informed about our products and services but only where you've given your consent to this.

2. Funding the investment – tick all that apply

2.1 I'll be funding my investment into the Aegon JISA by

Cheque

Monthly direct debit – please download and complete the Direct Debit Instruction – ISA.

Bank transfer

For a bank transfer we'll provide bank details when you receive your illustration from us.

Important note: Please make sure you enter the child's National Insurance number, if available, or their surname and date of birth as the reference when you make the payment so we can tie it up with your account.

Make cheques payable to Cofunds Limited. For a building society cheque or banker's draft your name must appear on the front of the cheque, or on the back of the cheque accompanied by the building society's or bank's official stamp and signature.

The amount you subscribe is a gift to the child and we can't repay it to the subscriber if at a later date they change their mind.

Occasionally we might need to know where your money you are contributing and your funds have come from.

Source of funds (your source of funds is the details of the bank account that your money to invest comes from).

2.2 Third party details

Complete this section if you're not the child or registered contact but are funding the JISA.

Aegon customer number (if applicable)

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2. Funding the investment – tick all that apply – continued

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Permanent residential address

Postcode

Relationship to applicant

Date of birth

D	D	M	M	Y	Y	Y	Y
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3. Investment details

In this section, you need to tell us how you'd like to invest your money into your Aegon JISA. Your intermediary should complete section 3.3 if you're investing in a model portfolio.

We can apply the investment choice you make to all future investment choices in this product.

Use the investment choices below as my new default investment selection. If you select different investments for your regular and single contributions/transfer payments you can't select the default investment strategy and rebalancing options.

3.1 Single payment

Please complete this section if you're making a single payment.

The funds should match the illustration you received from us, if they don't, we won't be able to process your request. If you want to invest in different funds, please contact us for a new illustration.

Full investment manager name, fund name and share class	SEDOL code (this is shown in your KIIDs)	% to be invested
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
Aegon JISA cash facility	n/a	%
Total amount	n/a	100%

If you need to add additional funds, please provide the information on a separate sheet of paper in the format above, sign and date it and attached it to this form. .

3. Investment details – continued

3.2 Regular payments

Please complete this section if you're making regular payments.

Use the same investment selection as section 3.1.

Use the investments listed below.

The funds should match the illustration you received from us, if they don't, we won't be able to process your request. If you want to invest in different funds, please contact us for a new illustration.

Full investment manager name, fund name and share class	SEDOL code (this is shown in your KIIDs)	% to be invested
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
Aegon JISA cash facility	n/a	%
Total amount	n/a	100%

If you need to add additional funds, please provide the information on a separate sheet of paper in the format above, sign and date it and attach it to this form.

3.3 Model portfolio (for intermediary use only)

If you're setting up a new Aegon JISA product:

Name of existing model portfolio

3.4 Auto-rebalancing

Do you want to set up rebalancing on your investments?

No

Yes

Please confirm the frequency of rebalancing:

Quarterly

Yearly

4. Investment income options

If you have income generating investments, this section lets you choose how any income distributions paid from those investments should be dealt with when we receive them from the investment provider. We'll apply your selection to all income generating investments you hold within the Aegon JISA.

Please tick one of the following options:

Reinvest in fund (default) – reinvest any income received back into the same fund.

Leave in cash – pay any income into the Aegon JISA cash facility.

Please note we're not able to pay income directly out of the JISA to you.

5. How we treat your personal information

Here at Aegon, we're committed to protecting and respecting your privacy. We collect your personal information so that we can verify your identity, set up your plan and provide ongoing administration. We need this information to carry out our obligations and to provide you with the products and services under the terms of your contract with us. Without it, we wouldn't be able to provide you with a plan. As part of our administration process, we work with carefully selected service providers (in other words suppliers) that carry out certain functions on our behalf. We only share the appropriate level of personal information necessary to enable our suppliers to carry out their services and they need to keep the information safe and protected at all times. Our suppliers must only act on our instructions and can't use your personal information for their own purposes. The personal information we collect may be transferred to, and stored at a destination outside the European Economic Area (EEA). This could be to other companies within the Aegon Group or to our service providers. Where any such processing takes place, appropriate controls are in place to make sure your information is protected. We may disclose your information to licensed credit reference and/or fraud prevention agencies to help make financial or insurance proposals and claims decisions (this will be during the application or enrolment process and on an ongoing basis), for you and anyone you're linked with financially or other members of your household. Our enquiries or searches may be recorded.

You can find more information on how we use and share your personal information, including how long we keep it and details of your rights at customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/ or by contacting us to request a copy.

We'd like to keep you up-to-date with information about our news, products and services. If you'd like to hear more from us, please tick the relevant box below.

Mail

Phone

SMS

Email

By ticking the box(es), you're consenting to receiving marketing messages in this way from us. You can change your mind and unsubscribe at any time simply by contacting us. For more information on how to do this go to customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/

6. Intermediary details (for intermediary use only)

6.1 Intermediary name

Firm name

Please detail any adviser or service charges below.

6.2 Initial adviser charge

This instruction must be completed if you want to take an initial adviser charge.

If any charge chosen in this section is to be subject to VAT, we'll add VAT to the selected 'Amount', or where 'Percentage' is selected, we'll calculate the charge amount and then add the VAT to it, this applies to section 6.2.1 and 6.3.

6.2.1 Initial adviser charge on the single payment

Enter the amount or percentage to be deducted. Tick one option only.

Amount £

Percentage of the lump sum payment %

Is this subject to Value Added Tax (VAT)?

Yes No

VAT will be added to the amount entered or added after the amount has been calculated.

6.3 Ongoing adviser charge

Use this section to set up a monthly ongoing adviser charge.

Is the charge to be linked to a charge model? Yes No

If yes – Model name

If no – ongoing adviser charge £ p.a. or % p.a.

Is VAT to be added to the above? Yes No

6.4 Service charge

This section lets you agree and set up a monthly service charge model to your client's Aegon JISA to pay you a service charge.

Service charge model name

7. Registered contact declaration

I acknowledge that Aegon relies on the information contained in the following documents as they form the basis of the contract(s) being applied for:

- this application form, including the declarations made in Section 7,
- the first contract note for the Aegon JISA, and
- the Aegon Platform terms & conditions as supplemented and amended by the Supplement for Aegon JISA.

- 7.1 I am aware that the Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA, are the standard terms upon which Aegon intends to rely, and it is important that I read the standard terms before agreeing to be bound by them. If there is any term or point I do not understand in the standard terms or do not wish to be bound by, I understand that I can request further information from Aegon before signing this application.
- 7.2 I confirm that I have received and had the opportunity to read the Aegon Platform terms & conditions as supplemented and amended by the Supplement for Aegon JISA carefully, along with the key features document(s), my personal illustration for the Aegon JISA, Key Investor Information Document(s) relating to the funds I would like to invest in and the declarations in this application, before completing this application
- 7.3 I confirm that I have had the opportunity to read Aegon UK Retail Order Execution Policy and I agree to its terms.
- 7.4 I accept that the information and documents I have been provided with should not be taken as advice or a recommendation from Aegon.
- 7.5 I accept that Aegon has not and will not assess the child's suitability for the Aegon JISA, or any investment decisions I make. This means that I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability in relation to Aegon.
- 7.6 I accept that any payment into the Aegon JISA, including contributions and transfers, will be placed in the cash facility of the Aegon JISA. Thereafter, investments will be purchased in accordance with the investment instructions given by me or my appointed intermediary.

I declare that:

- 7.7 I apply for an Aegon stocks and shares JISA for the tax year 2019/20 and each successive year until further notice, and services outlined in the application and agree to be bound by the Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA.
- 7.8 I am 16 years of age or over.
- 7.9 I will be the registered contact for this Aegon JISA.
- 7.10 I have parental responsibility for the child, or I am the child.
- 7.11 The child named in Section 1 will be the beneficial owner of the accounts investments.
- 7.12 I have not subscribed, and will not subscribe, to another stocks and shares JISA for this child.
- 7.13 I am not aware that this child has another stocks and shares JISA.
- 7.14 I am not aware of other JISA subscriptions that have or will result in this child exceeding the annual limit.
- 7.15 I will not knowingly make subscriptions to JISAs for this child that will result in the subscription limit being exceeded.
- 7.16 The child who will hold the JISA does not hold a Child Trust Fund.
- 7.17 The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a civil partnership with a UK Crown servant.
- 7.18 I agree that any Direct Debit instructions in the application will continue into subsequent tax years until I tell Aegon to stop taking payments.
- 7.19 The information supplied in this application, and any supplementary forms related to it, including transactional data and personal details, is correct and complete to the best of my knowledge and belief, I am aware that it is a serious offence to knowingly provide false or misleading information in the application form or in the application process.

7. Registered contact declaration – continued

7.20 I have read key investor information document(s) relating to the funds I would like to invest in.

7.21 Where regulations allow, I nominate my appointed intermediary to receive correspondence in relation to my investments. This instruction will remain in force unless my appointed intermediary has informed Aegon that they wish for this correspondence to be sent directly to me, or I no longer have an appointed intermediary to whom Aegon can send these.

I authorise Aegon to:

7.22 Hold the child's cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

7.23 Make on the child's behalf any claims to relief from tax in respect of any JISA investments.

7.24 Disclose details of this Aegon JISA to my appointed intermediary.

7.25 To accept instructions from my appointed intermediary with regard to all aspects of the administration of the Aegon JISA.

7.26 Pay any fees or charge specified by me to my appointed intermediary on the child's behalf from the Aegon JISA.

7.27 I agree that the amount of the fee or charge reflects the terms of the agreement I have entered into with my appointed intermediary. The contract note will confirm the actual amount of the fee or charge to be deducted and paid to my appointed intermediary. If I disagree with the fee or charge then I must advise my appointed intermediary.

Date

D	D	M	M	2	0	Y	Y
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Registered contact signature
(signature not required if sending form by email)

X	X
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