

Aegon General Investment Account top up form

'I', 'me', 'you', and 'your' refers to you, the customer named in section 1. 'Aegon', 'we' or 'our' refers to Cofunds Limited. 'Adviser' refers to the Nationwide Financial Planning Manager.

Use this form to top up your existing Aegon General Investment Account (GIA).

You must have been provided with an illustration, Aegon Platform – for Nationwide Building Society customers terms and conditions, and a Key Investor Information Document (KIID) or a key information document for each investment you're choosing before we can process your application. If you don't have any of these documents you can contact us by email: aegonipsadministration@aegon.co.uk.

Please complete this form in BLOCK CAPITALS and email it to: aegonipsadministration@aegon.co.uk.

Our email system and the way we deal with data internally is secure. However we're unable to ensure the security of emails before they reach us. Please consider this when sending us sensitive information.

Whenever you see this icon , we're asking you to send us additional material with this form.

Where you currently hold investments in commission-included share classes in your Aegon GIA and/or your Aegon ISA:

You have received information regarding converting your commission-included investments to commission-free and the relevant charges. When we receive this instruction, we'll start that conversion.

Where you haven't already chosen the level of service you need, your Personalised Charges Schedule (PCS) has been produced using the Nationwide service charge of 0.5%. You have the right to opt out of this and instead move onto the pay as you go advice charge service. You can find more information in the covering letter that accompanies your PCS. Please tell us below which service charge you'd like to choose:

Please leave me on the 0.5% service charge.

I'd like move to the pay as you go advice charge service.

If you don't tick one of the above boxes to tell us which service you'd like, you'll remain on the 0.5% service charge until you tell us otherwise.

For the purpose of our records we'll process this instruction on the basis that you haven't received financial advice.

1. Customer details

1.1 Product number

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Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth

D	D	M	M	Y	Y	Y	Y
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1.2 Secondary holder (if any)

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth

D	D	M	M	Y	Y	Y	Y
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2. Top up details

2.1 I/We will be paying the top up into the Aegon GIA by:

Cheque Amount

Bank transfer Amount

You can find our bank details, for when you instruct your bank to make the transfer, with your illustration.

2.2 Please ensure for both bank transfers and cheques you give your Aegon product number, or your surname and date of birth as the reference when you make the payment to ensure we can tie it up with your account.

Cheques must be made payable to Cofunds for Nationwide. For a building society cheque your name must appear on the front of the cheque, or on the back of the cheque accompanied by the building society's stamp and signature.

2.3 Please tell us where the money you're investing has come from (for example from regular savings from your salary, selling a property or an inheritance payment),

4. Investment Income options

If you have income-generating investments, this section lets you choose how any income distributions paid from those investments should be dealt with when we receive them from the investment provider. We'll apply your selection to all income generating investments you hold within the Aegon GIA.

As you currently hold an Aegon GIA with us, we'll apply your existing income option if you don't tick one of the boxes below.

Please tick one of the following options:

- Reinvest (default)** – reinvest any income received back into the same investment.
- Leave in cash** – pay any income into the GIA cash facility.
- Consolidated natural income** – pay any income received to your nominated bank account as a monthly payment. (Complete section 5 to nominate a bank account.)

5. Bank details for payments out of investment income

Please provide details of the bank/building society account your consolidated natural income is to be paid to.

Payments can only be made to a personal account in your name.

If this is the first payment to your nominated bank account, you may need to give us a certified copy of your bank statement and driving licence (as proof of signature). We'll contact you if we need this.

Name of Bank/Building society

Branch sort code

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Bank/Building society account number

Building society roll number (if applicable)

Payments to building society accounts may take up to 10 days longer than payments to bank accounts.

Account name

6. Customer declaration

In this Declaration:

It's important that you read the following declarations before proceeding with a top up to your Aegon GIA.

General Declaration

6.1 Aegon relies on the information contained in the following documents as they form the basis of you making a top up to your Aegon GIA:

- the application;
- these declarations and any other declarations made when applying to make a top up to your Aegon GIA;
- the contract note (where applicable), and
- the Aegon Platform – for Nationwide Building Society customers terms and conditions.

I confirm that I have had the opportunity to read these documents carefully (other than the contract note in relation to making the top up which will be given to me in accordance with the Aegon Platform – for Nationwide Building Society customers terms and conditions), along with the key features document, my personal illustration, key investor information documents and the declarations in this application before completing this application process.

6.2 I confirm that I am habitually resident in the United Kingdom.

6.3 I accept that the information and documents I have been provided with should not be taken as advice or a recommendation from Aegon.

6.4 I accept that Aegon has not and will not assess my suitability for making a top up to my Aegon GIA or any investment decisions I make. This means I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability. If I have any doubts about the suitability of making a further payment to my Aegon GIA, I should speak to an adviser.

6.5 Where I currently hold investments in commission-included share classes under my Aegon GIA and/or my Aegon ISA:

- I instruct Aegon to purchase commission-free share class investments (where appropriate) and to arrange the conversion of all commission included share class investments I hold under my Aegon GIA and/or Aegon ISA to commission-free share class investments.
- I have had the opportunity to read the fund specific information and/or Key Investor Information Documents (KIIDs) relating to my investment(s).
- I agree that once a commission-included share class has been converted to another share class within an investment, it cannot be converted back.
- I am aware that no transactions can be carried out on the affected units while the conversion takes place.
- I agree that any loyalty bonus I receive will stop once the conversion is complete.

I declare that:

6.6 I have had the opportunity to read the Aegon Platform – for Nationwide Building Society customers terms and conditions and hereby agree to be bound by the terms.

6.7 I apply to make a top up to my Aegon GIA.

6.8 I am 18 years of age or over.

6.9 The information supplied in the application, and supplementary forms related to it, including transactional data, is true and complete to the best of my knowledge and belief. I am aware that it is a serious offence knowingly to provide false or misleading information on the application.

6.10 The top up into my Aegon GIA will be placed in the cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me (including those in section 3 of this application), or my adviser where I appointed one in relation to my Aegon GIA.

6. Customer declaration – continued

- 6.11 I have or will provide details through self-certification of all countries in which I am resident for tax purposes. If I do not provide these details, I will be reportable to HM Revenue & Customs (HMRC) as undocumented.
- 6.12 Where required under UK law, Aegon can share information about me and my Aegon GIA to HMRC, who will then share that information with tax authorities in the relevant countries and territories.
- 6.13 This application has been completed to the best of my knowledge and belief.
- 6.14 I've read the important information documents, including the KIID for each selected fund, the declaration and I apply to make a top up to my Aegon GIA on the basis set out above.
- 6.15 Where I have selected consolidated natural income in section 4 and are currently taking regular withdrawals from my Aegon GIA, I instruct Aegon to cancel the regular withdrawals.

I authorise Aegon to:

- 6.16 Hold my cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.
- 6.17 Arrange any transfer of an existing GIA held with a different provider to my Aegon GIA, as and when I request that Aegon do so.

- 6.18 Obtain details from my existing GIA provider(s) and authorise the giving of any such details to Aegon.
- 6.19 Accept investment and disinvestment instructions from my adviser where I have appointed one in relation to my Aegon GIA.
- 6.20 Disclose details of my Aegon GIA to my appointed adviser, and to accept instructions from my appointed adviser with regard to all aspects of the running of the Aegon GIA.

Date

D	D	M	M	Y	Y	Y	Y
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Print name

Primary holder signature (signature not required if sending form by email)

X	X
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Date

D	D	M	M	Y	Y	Y	Y
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Print name

Secondary holder signature (signature not required if sending form by email)

X	X
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Illustration number (for internal use only)

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