For financial advisers only

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Aegon Platform transaction history

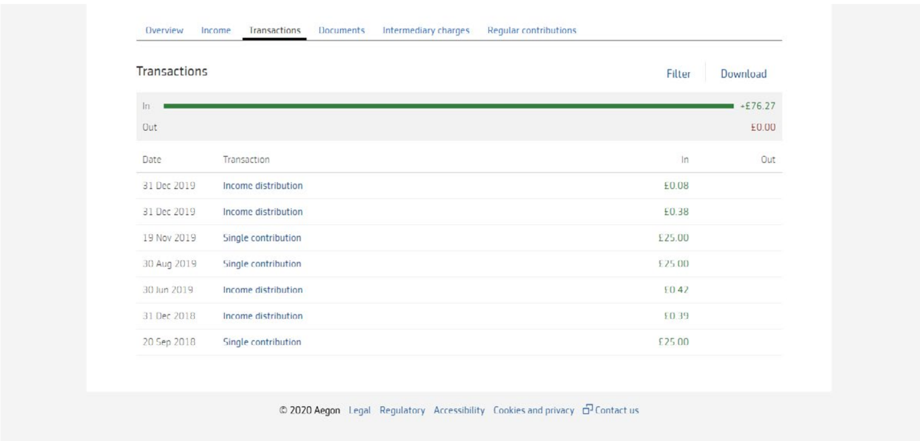
Key features of transaction history

- **Simplified view of transaction history** – reduces the number of entries we show to make it easier to view at a glance.
- **Transaction grouping** – the summary view shows high level transaction details (for example, switch funds). You can then drill down by selecting the transaction to show detailed line items associated with it.
- **Removal of pending transactions once they complete** – after pending transactions complete we'll remove them and replace with the completed transaction.
- **Filtering** – narrow down your results using three categories of **Transaction type, Tax year and Date range**.
- **Totals** – our totals feature shows the total money in and out since your clients' Aegon Platform account was set up. If you apply filters you'll see the total values for just the filtered transactions.
- **Clear naming for the most frequent transaction types** – a simplified flow of transactions and clear titles for transactions.

Transaction history on the Aegon Platform

When you sign in, go to **Product summary** and under **Transactions** you'll see an overview of transaction history.

Transaction history overview



Our transaction history provides a simplified view of transaction history with clear labelling and grouping of items that relate to a transaction.

Transaction history – expanded view

Select a transaction grouping from the initial view to see all the details.

22 Nov 2018 Single contribution £1,500.00

Reference: 1786446898234347

Transaction	Fund	In	Out
Payment for asset purchase 23 Nov 2018	Cash Units 1,500.00000000000 Price 1.00000000000		-£1,500.00
Asset purchase 22 Nov 2018	BlackRock Corporate Bond D Acc Units 1301.23456789102 Price 1.15275142316	£1,500.00	
Contribution 22 Nov 2018	Cash Units 1,500.00000000000 Price 1.00000000000	£1,500.00	

In this example, selecting **Single contribution** shows all the related transactions.

14 Oct 2018 Switch

Reference: 1786446898234347

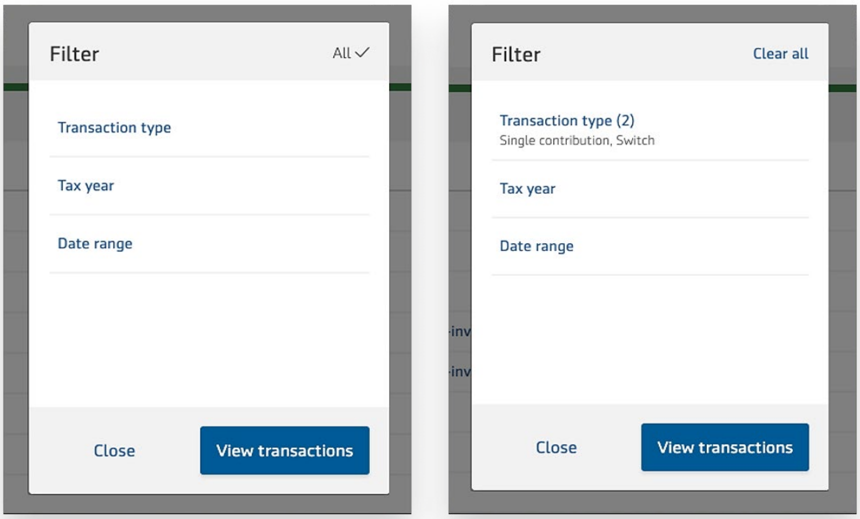
Transaction	Fund	In	Out
Switch in 17 Oct 2018	Baillie Gifford American B Acc Units 2,104.87291827356 Price 1.32209881929	£2,782.85	
Switch in 16 Oct 2018	Aberdeen Emerging Markets Equity I Acc Units 5,701.20391726731 Price 1.20574708426	£6,874.21	
Switch in 16 Oct 2018	Aberdeen Japan Equity I Acc Units 2,302.92310123423 Price 1.50219952987	£3,459.45	
Switch out 14 Oct 2018	Artemis Income R Inc Units 6,971.19823748195 Price 1.18971225856		-£8,293.72
Switch out 14 Oct 2018	BlackRock Cont Eurpn Inc D Acc Units 1,091.48093849526 Price 1.49269670458		-£1,629.25
Switch out 14 Oct 2018	BlackRock Corporate Bond D Acc Units 2,782.10283647586 Price 1.14789430431		-£3,193.56

In this example, selecting **Switch** shows all the related transactions.

Transaction history filtering

Using the filter in transaction history you can narrow down your results based on three types of categories:

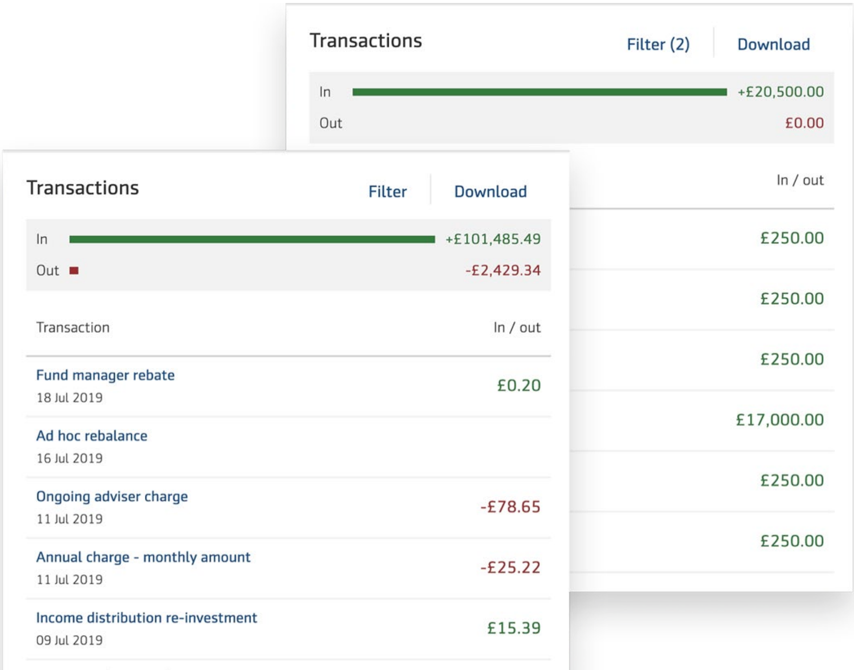
- Transaction type
- Tax year
- Date range



- Ability to filter your full transaction history – to see just the records you're interested in at any point of viewing transactions.
- The options available are relative to a client's history – so we don't include options that would return no results.
- Our design automatically adapts to display on phone, laptop, PC, tablet etc.
- **All** and **Clear** options to either add all or remove all filters you apply.

Transaction history totals

Our totals feature shows the total money in and out since your clients' Aegon Platform account was set up. As these values are dynamic if you select any filters then the total values we show are just for the filtered transaction types or dates.



Transaction history groupings

We've listed the key groupings for transaction history below.

Money in
Single contribution (GIA or ISA)
Regular contribution (GIA or ISA)
Regular contribution (pension)
Regular contribution (pension – employer)
Single contribution (pension)
Single contribution (pension – employer)
Contribution (pension)
Contribution returned
Regular contribution returned
Additional permitted subscription
Money out
Asset sale
Automated asset sale
Withdrawal
Withdrawal returned
Regular withdrawal
Withdrawal for product change
Withdrawal (from pension via product provider)
Switch
Switch
Recurring switch
Ad hoc rebalance
Regular rebalance
Conversion
GIA to ISA
Transfers
Opening balance
Asset transfer
Re-registration in
Re-registration out
Transfer out

Transfers (continued)
Transfer out returned
Transfer in (ISA / GIA)
Transfer in (pension)
Transfer in returned
Charges
Ad hoc adviser charge
Initial adviser charge
Initial adviser charge – fixed term
Ongoing adviser charge
Service charge
Initial adviser charge adjustment
Ongoing adviser charge adjustment
Service charge adjustment
Annual charge – monthly amount
Annual charge – half-yearly amount
Annual charge adjustment
Adjustments
Asset adjustment
Cash adjustment
Interest/corporate actions/distributions/ rebates
Fund manager rebate
Rebate payment
Interest received
Income distribution re-investment
Income distribution
Consolidated natural income
Consolidated natural income returned
Corporate action – fund manager
Corporate action – fund conversion
Corporate action – fund closure

Visit **cofunds.aegon.co.uk** for more information.

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