

CURTIS BANKS ADVISER CHARGING FORM

for existing clients

This form can be used to set up an ad-hoc or ongoing adviser charge to a Curtis Banks product you currently hold (Suffolk Life SIPP, Smart SIPP or Master SIPP, or Curtis Banks Your Future SIPP).

If you want to apply a charge to more than one product please complete a separate form for each. If your personal circumstances mean you need any additional support, or if you'd like a large print, braille or audio version of this document, please contact us on 0345 604 4001 (call charges will vary) or by visiting aegon.co.uk/additionalsupport.



Please complete this form and return to:
Aegon Cofunds Administration, Sunderland SR43 4DN

Section 1

Client/Company details

Product number	8							
Mr/Mrs/Miss/Ms/Other - please specify								
Full forename(s)								
Surname								
or company name								
Date of birth			/			/		

When completing date fields please use the usual **DD/MM/YYYY** format.



Section 2

Adviser details (for adviser use only)

Adviser name	
Firm name	

Section 3

Adviser charging (for adviser use only)

Please tick the applicable boxes below, and complete the relevant section:

Ad-hoc adviser charge – complete Section 3A	<input type="checkbox"/>
Ongoing adviser charge – complete Section 3B	<input type="checkbox"/>

3A. Ad-hoc adviser charge

The charge will be deducted from the product cash facility of the product chosen in Section 1. If you want to apply a charge to more than one product please complete a separate form for each product.

Charge amount (fixed monetary amount)	£	
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Charges deducted directly from a pension product cash facility may reduce the capital value of that pension and the pension income generated.

Any ad-hoc adviser charges taken from the relevant pension product cash facility must only be in relation to advice given on that product.



Section 3

Adviser charging (for adviser use only) – continued

3B. Ongoing adviser charge

This section is used to agree an ongoing adviser charge allowing payments to be made to you.

Ongoing adviser charge details

Charge model name	<input type="text"/>		
Annual percentage rate	<input type="text"/> %	or Tiered percentage rate	<input type="text"/> %
		or Monthly fee amount	£ <input type="text"/>

i If you have selected to charge a tiered percentage rate please enter the highest applicable percentage rate that applies to the charge.

Section 4

Declaration

Please complete one of the following sections. Your client must complete Section 4A unless you're providing a copy of their signed fee agreement, in which case you should complete Section 4B.

4A. This section must be signed by the client (if Section 4B is not being completed)

Ad-hoc and ongoing charges

I confirm that I have received my illustration and understand the charges that are applicable to my investment.

I confirm that any adviser charges are genuinely commercial arrangements between myself and my adviser and only relate to pensions advice and services provided.

By signing this form I agree that my adviser has explained the attributes of the ad-hoc charge and/or ongoing charge to me, and agree to pay my adviser charges from my relevant product cash facility (ongoing fees will be on a monthly basis).

If there is insufficient money within the relevant payment account, I authorise Aegon to sell enough of my platform assets to pay the ongoing charge in accordance with the Aegon Platform terms and conditions.

Primary holder signature Date / /

4B. This section must be completed by the adviser (if Section 4A is not being completed)

I attach a copy of my client's signed fee agreement and confirm that this represents my client's authority to instruct Aegon to pay me (acting as the adviser of the client) the agreed, ad-hoc charge and/or ongoing charge from the client's relevant payment account.

I understand that to apply an ad-hoc charge, my client's signed agreement must include the monetary amount of the charge and must match the total charge stated in Section 3A.

The charges applicable to this form are shown in the following section(s) of the attached client-signed fee agreement:

Page number: & Paragraph: and/or Section reference:

Adviser signature Date / /