



For customers | Aegon Platform

Re-registration authority for Aegon SIPP

If your personal circumstances mean you need any additional support, or if you'd like a large print, braille or audio version of this document, please contact us on 0345 604 4001 (call charges will vary) or by visiting aegon.co.uk/additionalsupport

This form should be completed to give us authority to proceed with your application to re-register part or all of the fund value under your plan number listed in section 2 into your Aegon Self-invested Personal Pension (Aegon SIPP).

Where you are making more than one partial or full re-registration transfer into your Aegon SIPP, you must complete a separate form for each transfer. When completed, it must be returned along with the **Transfer authority form** which can be found at aegon.co.uk.

We recommend you read our Transfer Guide as part of this transaction. You can find it at aegon.co.uk/transfers it gives you more detail on how the transfer process and any share class conversions work, the time it may take and other important information. You should also ensure you have an illustration that reflects the decisions you're authorising below.

You should speak to your adviser before completing this form.

Please complete this form by typing in the boxes, including the signature box(es) and emailing it to aegoncofundsadministration@aegon.co.uk

Our email system and the way we deal with data internally is secure. However, we're unable to ensure the security of emails before they reach us so please consider this and do not include any personally sensitive, financial or banking information that has not been appropriately secured. If you have access to our online services, you may be able to log in and complete your action securely.

1. Customer details

Customer name

Customer number (if known)

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Date of birth

D	D	M	M	Y	Y	Y	Y
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Product number (if known)

National Insurance number

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2. Re-registration details

To process the authority, you must have ticked the relevant box on the **Transfer authority form** to confirm that the transfer from the scheme detailed in this section is a partial or full re-registration.

Scheme administrator

Plan number

Scheme name and address

Postcode

Estimated uncrystallised transfer amount to be re-registered

Estimated crystallised transfer amount to be re-registered

Please list all investments, including the Sedol codes, that you want to re-register to Aegon or tick the re-register box below. Please ensure the investments you choose to re-register are available through Aegon.

Please note we're unable to accept the re-registration of any investments which pay a commission to your adviser.

Re-register all of my investments ☐

Full investment manager name and investment name	Sedol code (this is shown in your Key Investor Information Documents (KIID))

Conversion to lower charge share class where available.

We'll automatically convert re-registered funds into the lowest charge share class available to you.

If you don't want us to convert your re-registered funds, please tick here ☐

If you decide not to convert, please ensure you have an up to date illustration that reflects this decision. You can find out more about the transfer and conversion process at aegon.co.uk/transfers

3. Customer declaration

In this declaration 'I', 'me' or 'my' means the customer named in section 1 and 'Aegon' means Scottish Equitable plc as the provider of the Aegon SIPP.

This declaration is being made together with the declaration that I have made on the Transfer authority form, in relation to the re-registration of investments from the transferring scheme named in section 2 of this form and the Transfer authority form.

I declare that:

- a. I instruct Aegon to re-register the units in the investment(s) listed in the table in section 2 from the transferring scheme named in section 2 and in the Transfer authority form completed by me to my Aegon SIPP numbered in section 1, or where I am applying for a new Aegon SIPP, that new product number.
- b. I hereby indemnify Aegon against all claims and all losses together with any interest thereon including but not limited to costs, taxes, tax charges (including but not limited to a scheme sanction charge as described in section 239 of the Finance Act 2004), proceedings, damages, and expenses awarded against or incurred or paid by Aegon under or in relation to the Aegon SIPP numbered in section 1, or where I am applying for a new Aegon SIPP, that new product number, as a result of you carrying out the re-registration of my investment(s) as instructed by me in this form.

You should sign and date this form by typing your full name in the signature box below and typing the date in the date box or by using any other electronic signature method we have agreed, in writing with your adviser, to accept. Your typed name or agreed electronic signature method in the signature box will be your signature. When you sign the form, by typing your name in this box or using the agreed electronic signature method, you are making the declarations and confirming that you wish to proceed with the instructions in this form.

Date

D	D	M	M	2	0	Y	Y
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Print name

Signature (type name here)

XX

4. Adviser declaration

Where you have completed this form on behalf of the customer named in section 1, when you sign the form, by typing your name in this box, you are making the declarations and confirming that the customer wishes to proceed with the instructions in this form.

By signing this form, by typing your name in the box below, you make the following additional declarations:

You declare that:

- to the best of your knowledge and belief, the information supplied to Aegon on behalf of the customer is true and complete;
- the customer has had the opportunity to review the Transfer authority and the Re-Registration authority as part of this transaction, and has made the declarations and accepted the terms contained within them
- you've obtained, and will retain, evidence of this in accordance with your regulatory obligations and the terms of business with govern your relationship with Aegon

- you hereby indemnify Aegon against all claims, losses, tax charges, penalties and interest incurred or due to be paid by Aegon as a result of your failure to obtain the appropriate authority from the customer and/or supplying incorrect or inaccurate information and Aegon relying on and following the instructions given in this application form.

Date

D	D	M	M	2	0	Y	Y
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Adviser Signature (type name here)

X _____ **X**



Aegon is a brand name of both Scottish Equitable plc (No. SC144517) registered in Scotland, registered office: Edinburgh Park, Edinburgh EH12 9SE, and Cofunds Limited, Registered in England and Wales No. 03965289, registered office: Level 26, The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB. Both are Aegon companies. Scottish Equitable plc is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Cofunds Limited is authorised and regulated by the Financial Conduct Authority. Their Financial Services Register numbers are 165548 and 194734 respectively.