



For financial advisers | Aegon Platform

Discretionary fund manager (DFM) - new DFM model fee notification and/or fee changes

You need to complete this form to notify us of your fee for any new DFM models and/or change fees on existing models. Please complete this form by typing in the boxes, including the signature box(es) and email it to apdfmsandproviders@aegon.co.uk. Please note Aegon will not back date any fees. Please don't email any personal, financial or banking information as it's not a secure method of communication. If you have a dedicated secure email service with Aegon, for example Mailock, please use this service.

DFM name

Date change is applicable from (changes received within the last 5 working days of the month will be applied from the first day of the following month)

0	1	M	M	2	0	Y	Y
---	---	---	---	---	---	---	---

1. New DFM model

Please tell us of fees applicable to any new models you've created (if no fee applies please state 0%)

DFM model name	Standard DFM fee (only use % to 3 decimal places)

If you need additional rows, please complete this section on another form, sign and date it and send it with this form.

1. New DFM model – continued

Please provide details of discounted DFM fees agreed with adviser firms using your models.

Adviser firm name 1

Postcode

FCA number

--	--	--	--	--	--	--	--	--	--

DFM model name	Discounted DFM fee (only use % to 3 decimal places)

If you need additional rows, please complete this section on another form, sign and date it and send it with this form.

1. New DFM model – continued

Adviser firm name 2

Postcode

FCA number

--	--	--	--	--	--	--	--	--	--

DFM model name	Discounted DFM fee (only use % to 3 decimal places)

If you need additional rows, please complete this section on another form, sign and date it and send it with this form.

2. DFM model fee changes

Please tell us of any changes that are required for existing DFM models. No changes will be made to any discounted DFM fees that you've agreed with adviser firms unless notified.

Changes to standard DFM fees for DFM models

DFM model name	Standard DFM fee (only use % to 3 decimal places)

Changes to DFM fees agreed with adviser firms for using your DFM models

Adviser firm name	FCA number	DFM model name	DFM fee (only use % to 3 decimal places)



3. Declaration

We confirm the information we have provided is correct, accurate and we shall indemnify Aegon against any actions, costs, claims, damages, expenses, demands, liabilities and reasonable legal fees incurred or due to be paid by Aegon as a result of Aegon relying on the information and following the instructions given in this form.

Full name

Position

Signature (type name in box)

Date

D	D	M	M	2	0	Y	Y
---	---	---	---	---	---	---	---

Aegon is a brand name of both Scottish Equitable plc (No. SC144517) registered in Scotland, registered office: Edinburgh Park, Edinburgh EH12 9SE, and Cofunds Limited, Registered in England and Wales No.03965289, registered office: Level 26, The Leadenhall Building, 122 Leadenhall Street, London, EC3V 4AB. Both are Aegon companies. Scottish Equitable plc is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority. Cofunds Limited is authorised and regulated by the Financial Conduct Authority (FCA). Their FCA Financial Services Register numbers are 165548 and 194734 respectively. © 2024 Aegon UK plc