



For customers | Aegon Platform


Aegon Junior Individual Savings Account (JISA) transfer application form

If your personal circumstances mean you need any additional support, or if you'd like a large print, Braille or audio version of this document, please call 0345 604 4001 (call charges will vary) or visit aegon.co.uk/additionalsupport

In this form, 'I', 'me', 'you', 'your' or 'my' refers to you, the registered contact named in section 2, and 'Aegon', 'we' or 'our' refers to Cofunds Limited.

Use this form to transfer funds into an Aegon Junior ISA (JISA) from a JISA held with another account manager. The Aegon JISA is a stocks and shares JISA.

You must have been provided with an illustration, Aegon JISA key features, Aegon JISA supplement, Aegon Platform terms and conditions, Key Investor Information Document (KIID), (or a Key Information Document) and any relevant consumer-facing sustainability disclosure report for each fund you're investing in from us before completing this form. If you haven't we can't process your request. Please contact us on 0345 604 4001 to request an illustration (call charges may vary).

Whenever you see this icon , you may have to send us additional information.

Please complete this form by typing in the boxes, including the signature box(es) and emailing it and any additional information to: aegoncofundsadministration@aegon.co.uk.

Our email system and the way we deal with data internally is secure. However, we're unable to ensure the security of emails before they reach us so please consider this and do not include any personally sensitive, financial or banking information that has not been appropriately secured.

You'll also need to complete an Aegon JISA transfer authority form, which can be found on our website aegon.co.uk, for each JISA you are transferring.

Number of transfer authority forms attached. 

1. The child's details

1.1 Please use this section to give us the child's details. I apply to open an Aegon stocks and shares JISA for:

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth

D	D	M	M	Y	Y	Y	Y
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1.2 Please tell us the child's:

National Insurance number (if held)

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The child does not have a National Insurance number


Gender

Male Female

Permanent residential address

Postcode

1.3 For tax purposes is the child resident anywhere other than the UK?

No
 Yes – please download and complete the individual self-certification form on our website and attach to this form. 

1.4 Is this a new Aegon JISA?

Yes
 No – tell us your Aegon JISA number

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2. The registered contact's details

2.1 Your details

Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Date of birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Permanent residential address

Postcode

Email address

We'll use your email address to contact you about your Aegon JISA. We might also use it to keep you informed about our products and services but only where you've consented to this.

2.2 Did you receive advice from a financial adviser for this application?

Yes No
 Tick this box to confirm that you've had the opportunity to read the Aegon Platform terms and conditions, JISA Key features, JISA supplement, fund specific information, Key Investor Information Documents (KIIDs) and any relevant consumer-facing sustainability disclosure reports relating to each of your investments.

3. Transfer in details

3.1 In this section, you need to tell us how you'd like to invest your money into your Aegon JISA. Your adviser should complete sections 3.2 and 3.3 if you're investing in a model portfolio.

The investment choices you make can be applied to all future investments in this product.

Use the investment choices below as my new default investment selection. If you have different investment choices for your regular and single contributions/transfer payments you can't select the default investment strategy and rebalancing options.

The funds should match the illustration you received from us, if they don't, we won't be able to process your request. If you want to invest in different funds, please contact us for a new illustration.

Full investment manager name, investment name and share class	SEDOL code (this is shown in your KIIDs)	% to be invested
		%
		%
		%
		%
		%
		%
		%
		%
		%
		%
Aegon JISA cash facility	n/a	%
Total amount	n/a	100%

If you need to add additional funds, please provide the information on a separate sheet of paper in the same format as the table shown above, sign and date it, and attach it to this form. ☒

3.2 Model portfolio (For adviser use only)

If you're setting up a new Aegon JISA product:

Name of existing model portfolio

3.3 Auto-rebalancing (For adviser use only)

Auto-rebalancing

No Yes. Please confirm the frequency.

Frequency of rebalancing

Quarterly Yearly

4. Investment income options

If you have income-generating investments, this section lets you choose how any income distributions paid from those investments should be dealt with when we receive them from the investment provider. We'll apply your selection to all income generating investments you hold within the Aegon JISA.

If you have an existing Aegon JISA with us and you don't tick one of the boxes below, we'll apply your existing income option.

Please tick one of the following options:

Reinvest in fund (default) – reinvest any income received back into the same fund.

Leave in cash – pay any income into the Aegon JISA cash facility.

Please note we're not able to pay income directly out of the Aegon JISA to a customer.

5. How we treat your personal information

Here at Aegon, we're committed to protecting and respecting your privacy. We collect your personal information so that we can verify your identity, set up your plan and provide ongoing administration. We need this information to carry out our obligations and to provide you with the products and services under the terms of your contract with us. Without it, we wouldn't be able to provide you with a plan.

As part of our administration process, we work with carefully selected service providers (in other words suppliers) that carry out certain functions on our behalf. We only share the appropriate level of personal information necessary to enable our suppliers to carry out their services and they need to keep the information safe and protected at all times. Our suppliers must only act on our instructions and can't use your personal information for their own purposes.

The personal information we collect may be transferred to, and stored at a destination outside the European Economic Area (EEA). This could be to other companies within the Aegon Group or to our service providers. Where any such processing takes place, appropriate controls are in place to make sure your information is protected.

We may disclose your information to licensed credit reference and/or fraud prevention agencies to help make financial or insurance proposals and claims decisions (this will be during the application or enrolment process and on an ongoing basis), for you and anyone you're linked with financially or other members of your household. Our enquiries or searches may be recorded.

You can find more information on how we use and share your personal information, including how long we keep it and details of your rights at customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/ or by contacting us to request a copy.

We'd like to keep you up-to-date with information about our news, products and services. If you'd like to hear more from us, please tick the relevant box below.

- Mail
- Phone
- SMS
- Email

By ticking the box(es), you're consenting to receiving marketing messages in this way from us. You can change your mind and unsubscribe at any time simply by contacting us. For more information on how to do this go to customerdashboard.aegon.co.uk/site-info/privacy-and-cookie-policy/

We won't pass your information to other companies outside of the Aegon Group for marketing purposes.

6. Adviser details (for adviser use only)

6.1 Adviser name

Firm name

Please detail any adviser or service charges below.

6.2 Initial adviser charge

This instruction must be completed if you want to take an initial adviser charge.

Enter the amount or percentage to be deducted. Tick one option only.

Amount

Percentage of the lump sum payment %

Is this subject to VAT? Yes No

VAT will be added to the amount entered or added after the amount has been calculated.

6.3 Ongoing adviser charge

Use this section to set up a monthly ongoing adviser charge.

Is the charge to be linked to a charge model? Yes No

If yes – Model name

If no – Ongoing adviser charge p.a. or % p.a.

Is VAT to be added to the above? Yes No

6.4 Service charge

This section lets you agree and set up a monthly service charge model to your client's Aegon JISA to pay you a service charge.

Service charge model name

7. Declaration

General declaration

7.1 Aegon relies on the information contained in the following documents as they form the basis of the contract for opening an, or subscribing to an existing, Aegon JISA.

- This application form, including the declarations made in Section 7
- The contract note for the Aegon JISA
- The Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA.

I confirm that I have received and had the opportunity to read the Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA carefully, along with the key features document(s), my personal illustration for the Aegon JISA, Key Investor Information Documents (KIIDs), (or Key Information Document), any relevant consumer-facing sustainability disclosure reports relating to the funds I would like to invest in and the declarations in this application before completing this application process.

7.2 I accept that the information and documents I have been provided with should not be taken as advice or a recommendation from Aegon.

7.3 I accept that Aegon has not and will not assess the child's suitability for opening an, or subscribing to an existing, Aegon JISA or any investment decisions I make. Except where I have received advice from an adviser, this means I will not benefit from the protection of the Financial Conduct Authority's rules on assessing suitability. If I have any doubts about the suitability of the Aegon JISA, I should speak to an adviser where I have not already done so.

7.4 I accept that any payment into this Aegon JISA, including contributions and transfers, will be placed in the appropriate cash facility. Thereafter, investments will be purchased in accordance with the investment instructions given by me, or my appointed adviser.

I declare that:

- 7.5 Where the child does not have an Aegon JISA capable of accepting the transfer payment:
I apply for an Aegon JISA, and services outlined in the application and agree to be bound by the Aegon Platform terms and conditions as supplemented and amended by the Supplement for Aegon JISA.
- 7.6 Where the child does have an Aegon JISA capable of accepting the transfer payment, I apply to make this transfer payment to the existing Aegon JISA as detailed in section 1.
- 7.7 I am 16 years of age or over.
- 7.8 I am the registered contact for the existing JISA to be transferred, as detailed above.
- 7.9 I will be the registered contact for this Aegon JISA.
- 7.10 I have parental responsibility for the child, or I am the child.
- 7.11 The child named above will be the beneficial owner of the accounts investments.
- 7.12 I have not subscribed, and will not subscribe, to another stocks and shares JISA for this child.
- 7.13 I am not aware that this child has another stocks and shares JISA.
- 7.14 I am not aware of other JISA subscriptions that have or will result in this child exceeding the annual limit.
- 7.15 I will not knowingly make subscriptions to JISAs for this child that will result in the subscription limit being exceeded.
- 7.16 The child who will hold the JISA does not hold a Child Trust Fund.
- 7.17 The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a registered civil partnership with a UK Crown servant.
- 7.18 I agree to the Aegon JISA terms and conditions as supplemented and amended by the Supplement for Aegon JISA.

7. Declaration – continued

7.19 The information supplied in the application, and supplementary forms related to it, including transactional data and personal details, is true and complete to the best of my knowledge and belief. I am aware that it is a serious offence to knowingly provide false or misleading information on the application.

7.20 I have read KIIDs relating to the funds I would like to invest in.

7.21 Where regulations allow, I nominate my appointed adviser to receive correspondence in relation to my investments. This instruction will remain in force unless my appointed adviser has informed Aegon that they wish for this correspondence to be sent directly to me, or I no longer have an appointed adviser to whom Aegon can send these.

I authorise Aegon to:

7.22 Hold the child's cash, subscriptions, investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

7.23 Make on the child's behalf any claims to relief from tax in respect of any JISA investments.

7.24 Disclose details of this Aegon JISA to my appointed adviser.

7.25 To accept investment and disinvestment instructions from my appointed adviser with regard to all aspects of the administration of the Aegon JISA.

7.26 Pay any fees or charge specified by me to my appointed adviser on the child's behalf from the Aegon JISA.

I agree that the amount of the fee or charge reflects the terms of the agreement I have entered into with my appointed adviser. The contract note will confirm the actual amount of the fee or charge to be deducted and paid to my appointed adviser. If I disagree with the fee or charge then I must advise my appointed adviser.

7.27 Arrange any transfer of an existing JISA held by the child with a different provider to this Aegon JISA.

7.28 Obtain details from the child's existing JISA Manager(s) and authorise the giving of any such details to Aegon.

7.29 You should sign and date this form by typing your full name in the signature box below and typing the date in the date box. Your typed name in the signature box will be your signature. When you sign the form, by typing your name in this box, you are making the declarations and confirming that you wish to proceed with the instructions in this form.

Date

D	D	M	M	2	0	Y	Y
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Registered contact signature (type name here)

X									X
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