



For financial advisers | Aegon Platform

# Confirmation of verification of identity

You should complete this form to manually verify the identity of any private customer.

Before filling in the form, you should read the explanatory notes under 'Details of introducing firm (or sole trader)' in the relevant section.

Please complete this form by typing in the boxes, including the signature box(es) and email it to: [aegoncofundsadministration@aegon.co.uk](mailto:aegoncofundsadministration@aegon.co.uk)

Our email system and the way we deal with data internally is secure. However, we're unable to ensure the security of emails before they reach us so please consider this and do not include any personally sensitive, financial or banking information that has not been appropriately secured.

## Private individual

### 1. Details of individual

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Customer number

3							
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Mr / Mrs / Miss / Ms / Other – please specify

Full forename(s)

Surname

Current address

Postcode

Date of birth

D	D	M	M	Y	Y	Y	Y
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Previous address (if individual has changed address in the last three months)

Postcode

## 2. Confirmation

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I confirm that:

- 2.1 The information in section 1 above was obtained by me in relation to the customer.
- 2.2 The evidence I have obtained to verify the identity of the customer: (tick only one)
- Meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or
- Exceeds the standard evidence - where the client is a Politically Exposed Person (written details of the further verification evidence taken are attached to this confirmation).

Name

Position

Date

D	D	M	M	2	0	Y	Y
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Signature (type or sign name here)

X	X
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## 3. Details of introducing firm (or sole trader)

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Full name of regulated firm (or sole trader)

Financial services register number

### Explanatory notes

- 3.1 A separate confirmation must be completed for each customer (for example, for joint holders, trustee cases and joint life cases). Where a third party is involved, for example, a payer of contributions who is different from the customer, the identity of the person must also be verified, and a confirmation provided.
- 3.2 This form **cannot** be used to verify the identity of any customer who falls into one of the following categories:
- Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirements for such verification;
- 3.3 This confirmation must carry an original signature, or an electronic equivalent.
- Those whose identity hasn't been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations, or
  - Those whose identity has been verified using the source of funds as evidence.
  - Those whose residential address is outside of the UK.

## 4. Adviser declaration

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Where you have completed this form on behalf of the customer named in section 1, when you sign the form, by typing your name in this box, you are making the declarations and confirming that the customer wishes to proceed with the instructions in this form.

By signing this form, by typing your name in the box below or using such other agreed electronic signature method, you make the following additional declarations:

You declare that:

- To the best of your knowledge and belief, the information supplied to Aegon on behalf of the customer is true and complete
- You have the appropriate authority from the customer to complete this form, to make the declarations in this form on their behalf and to provide Aegon with the instructions set out in this form, acknowledging that Aegon reserves the right to request a copy of the authority and failure to provide a copy when requested may result in Aegon being unable to proceed with the instructions

- You have discussed the form with the customer and they are aware of its content, they agree to the declarations and agree to you submitting this application on their behalf
- You hereby indemnify Aegon against all claims, losses, tax charges, penalties and interest incurred or due to be paid by Aegon as a result of my failure to obtain the appropriate authority from the customer and/or supplying incorrect or inaccurate information and Aegon relying on and following the instructions given in this application form

Date

D	D	M	M	2	0	Y	Y
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Adviser signature or sign (type name here)

X	X
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